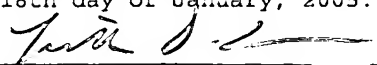




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(s)


Linda S. Evans

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant: | Dubin et al. | Atty. Docket: | ORT1502-CON |
| Serial No.: | 10/661,378 | Art Unit: | unknown |
| Filed: | 12 September 2003 | Examiner: | unknown |
| For: | DNA Encoding A Human Subunit 5HT3-C Of The 5HT3 Serotonin Receptor | Confirmation No.: | 6696 |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, Applicant submits the accompanying Form PTO-1449 citing references relating to the application.

This Statement is being filed under the provisions of 37 C.F.R. § 1.97(b)(3), i.e., before the mailing of a first Office Action on the merits. In the event that a first Office Action on the merits has been mailed, then this Statement is being filed under 37 C.F.R. § 1.97(c)(2) and the Commissioner is requested to charge Deposit Account No. 10-0750 for the fee set forth in 37 C.F.R. § 1.17(p).

Each reference listed on the accompanying form is in the English language.

Copies of the references listed on pages 1 through 4 of the accompanying form are not enclosed since such references were previously submitted to, or cited by, the

USPTO in Application Serial No. 09/955,524 (which published as U.S. Patent Application Publication No. US 2002/0137138) and/or application Serial No. 09/388,349 (which issued as U.S. Patent No. 6,365,370), both of which are relied on for priority purposes under 35 U.S.C. § 120. Copies of the references listed on page 5 of the accompanying form are enclosed.

The references listed on page 5 of the accompanying form were cited in a Supplementary Partial European Search Report or an International Search Report of a counterpart European application or PCT application, respectively. Copies of the Supplementary Partial European Search Report and International Search Report are enclosed herewith.

This Statement should not be construed as an admission that any information provided herewith is material as that term is defined in 37 C.F.R. § 1.56(b) or that any cited reference qualifies as prior art. This Statement should not be construed as a representation that a search has been made, or that information more material does not exist.

The Examiner is respectfully requested to initial the citations on the Form PTO-1449 to confirm consideration of each reference.

Respectfully submitted,



Linda S. Evans
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One Johnson & Johnson Plaza
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Date: January 18, 2005

Sheet 1 of 5

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